

L. Park
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#6/IDS
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Krolik et al.

Examiner: Unknown

Serial No.: 09/912,862

Group Art Unit: 3731

Filed: July 24, 2001

Docket No.: 1001.1507101

For: APPARATUS AND METHODS FOR ASPIRATING EMBOLI

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TECHNOLOGY CENTER R3700

CERTIFICATION FOR INFORMATION DISCLOSURE STATEMENT

UNDER 37 CFR §1.97(e)

Assistant Commissioner
for Patents
Washington, D.C. 20231

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS
BEING DEPOSITED WITH THE UNITED STATES
POSTAL SERVICE AS FIRST CLASS MAIL IN AN
ENVELOPE ADDRESSED TO: ASSISTANT
COMMISSIONER FOR PATENTS, WASHINGTON, D.C.
20231.

Glenn M. Seager October 1, 2002.
Glenn M. Seager

Dear Sir:

1. This certification is being made for the Information Disclosure Statement
accompanying this certification.

2. I, the person(s) signing below certify:

X That each item of information contained in the information disclosure
statement was cited in a communication from a foreign patent office in a
counterpart foreign application not more than three months prior to the filing of
the statement. 37 CFR §1.97(e)(1).

or

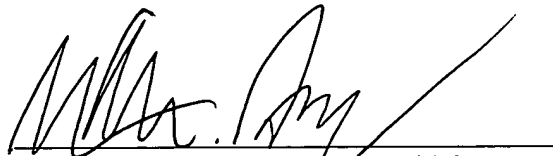
 That no item of information contained in the information disclosure
statement was cited in a communication from a foreign patent office in a

counterpart foreign application or to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement.

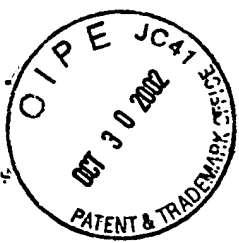
37 CFR §1.97(e)(2).

3. The person making this certification is the attorney who signs below on the basis of the information:

- ☐ supplied by the inventor(s).
- ☐ supplied by an individual designated in §1.56(c).
- ☒ in the attorney's file.



Glenn M. Seager, Reg. No. 36,926
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331 Second Avenue South
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Minneapolis, Minnesota 55401
Address of Attorney



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TRANSMITTAL SHEET

Assistant Commissioner for Patents
Washington, D.C. 20231

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Sir:

CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231, on this <u>24th</u> day of <u>October</u> , 2002.	
By	<u>Glenn M. Seager</u>

We are transmitting herewith the attached:

- ☒ Certification for Information Disclosure Statement Under 37 CFR1.97(e).
- ☒ Information Disclosure Statement.
- ☒ Form 1449 with Three (3) references.
- ☒ Postcard receipt.
- ☒ Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By:

Glenn M. Seager, Reg. No. 36,926

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20231.

DAY OF October, 2002.

Glenn M. Seager

Dear Sirs:

INFORMATION DISCLOSURE STATEMENT

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. 1.56,
the documents listed on the attached PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references
establishes, by itself or in combination with other information, a prima facie case of
unpatentability of any claim of the present case.

Dated: Oct 25, 2002

Respectfully submitted,

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